



05-11-01

EL722882023US

Pct A

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Xiong Zhang, et al.

Attorney's Docket No. 83973-0269224

Serial No.: 09/700,236

JC05 Rec'd PCT/PTO 09 MAY 2001

Filed: November 10, 2000

For: CRYSTAL GROWTH METHOD FOR GROUP-III NITRIDE AND RELATED COMPOUND SEMICONDUCTORS

TRANSMITTAL FOR RESPONSE TO NOTICE  
TO FILE MISSING PARTS OF APPLICATIONBox Missing Parts  
Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

Enclosed for filing in the above application are the following items:

1. Copy of Notice to File Missing Parts of Application (Filing Date Granted) – **Please note that the Priority Date of May 13, 1999 should be May 13, 1998 from application number 9801054-9 filed in Singapore;**
2. Combined Declaration and Power of Attorney, signed by inventors.
3. Assignment with Recordation Cover Sheet and check for \$40.00;
4. Request for Extension;
5. Check in the amount of \$550.00 (late oath surcharge \$65 + recordation fee of \$40 + request for 3-month extension of time \$445);
6. Change of Correspondence Address; and
7. Postcard for date-stamped confirmation of Patent Office's receipt of these materials.

Charge any required additional fees/credit any overpayment to Deposit Account 03-3975.

05/15/2001 HNGUYEN 00000049 09700236

01 FC:254

65.00 OP

Respectfully submitted,

David H. Jaffer

Reg. No. 32,243

Date: May 9, 2001.

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## CERTIFICATION UNDER 37 C.F.R. 1.10

I, Diana Dearing, hereby certify that this correspondence and the documents referred to as attached hereto are being deposited with the United States Postal Service on May 9, 2001, in an envelope as "Express Mail Post Office to Addressee," mailing Label Number EL722882023US addressed to Assistant Commissioner for Patents, Washington, D.C. 20231.



## UNITED STATES DEPARTMENT OF COMMERCE

Patent and Trademark Office  
Address: ASSISTANT COMMISSIONER FOR PATENTS  
Box PCT  
Washington, D.C. 20231

U.S. APPLICATION NO. 09/700236	FIRST NAME OF APPLICANT ZHANG	ATTY. DOCKET NO. X 83973/269224
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PILLSBURY MADISON & SUTRO  
NINTH FLOOR  
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WASHINGTON, DC 20005 3918

INTERNATIONAL APPLICATION NO.

PCT/SG99/00039

1.A. FILING DATE 11 MAY 99	PRIORITY DATE 13 MAY 99
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DATE MAILED:

09 JAN 2001

**NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)**

1. The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as

- ☐ a Designated Office (37 CFR 1.494),  
☒ an Elected Office (37 CFR 1.495):

- ☒ U.S. Basic National Fee.

- ☒ Copy of the international application in:

- ☐ a non-English language.  
☒ English.

- ☐ Translation of the international application into English.

- ☐ Oath or Declaration of inventors(s) for DO/EO/US.

- ☐ Copy of Article 19 amendments.

- ☐ Translation of Article 19 amendments into English.

- ☒ The International Preliminary Examination Report in English and its Annexes, if any.

- ☐ Translation of Annexes to the International Preliminary Examination Report into English.

- ☒ Preliminary amendment(s) filed 10 NOV 2000 and \_\_\_\_\_.

- ☐ Information Disclosure Statement(s) filed \_\_\_\_\_ and \_\_\_\_\_.

- ☐ Assignment document.

- ☐ Power of Attorney and/or Change of Address.

- ☐ Substitute specification filed \_\_\_\_\_.

- ☐ Verified Statement Claiming Small Entity Status.

- ☒ Priority Document.

- ☒ Copy of the International Search Report ☐ and copies of the references cited therein.

- ☐ Other: \_\_\_\_\_.

2. The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- ☐ a. Translation of the application into English. Note a processing fee will be required if submitted later than the appropriate 20 or 30 months from the priority date.

- ☐ The current translation is defective for the reasons indicated on the attached Notice of Defective Translation.

- ☐ b. Processing fee for providing the translation of the application and/or the Annexes later than the appropriate 20 or 30 months from the priority date (37 CFR 1.492(f)).

- ☒ c. Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the international application number and international filing date.

- ☐ The current oath or declaration does not comply with 37 CFR 1.497(a) and (b) for the reasons indicated on the attached PCT/DO/EO/917.

- ☒ d. Surcharge for providing the oath or declaration later than the appropriate 20 or 30 months from the priority date (37 CFR 1.492(e)).

3. Additional claim fees of \$ \_\_\_\_\_ as a ☐ large entity ☐ small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due. See attached PTO-875.

**ALL OF THE ITEMS SET FORTH IN 2(a)-2(d) AND 3 ABOVE MUST BE SUBMITTED WITHIN ONE MONTH FROM THE DATE OF THIS NOTICE OR BY ☐ 21 OR ☒ 31 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.**

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

4. Translation of the Annexes **MUST** be submitted no later than the time period set above or the annexes will be cancelled. Note processing fee will be required if submitted later than 30 months from the priority date.

5. ☐ The Article 19 amendments are cancelled since a translation was not provided by the appropriate 20 (37 CFR 494(d)) or 30 (37 CFR 1.495(d)) months from the priority date.

Applicant is reminded that any communication to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no., shown above. (37 CFR 1.5)

**A copy of this notice MUST be returned with this response.**

Enclosed:

☐ PCT/DO/EO/917

☐ Notice of Defective Translation

☐ PTO-875

FORM PCT/DO/EO/905 (December 1997)

Winston M. Alvarado

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